

Privacy Policy

HOW WE USE AND PROTECT PERSONAL INFORMATION

To clients and potential clients of Pearl Street Advisors, LLC and its affiliated companies, protecting your privacy is very important. We value your trust and we want you to understand what information we collect, how we protect it, and how we use it. We treat personal information — nonpublic information that identifies you — with respect, and in accordance with this privacy policy.

INFORMATION WE MAY COLLECT

We may obtain information, much of which comes directly from you, to provide you with financial planning services and other advisory services that you have requested and as we deem appropriate, to determine your eligibility for products or services that may result from our work. The collection of relevant data is essential to the production of a comprehensive financial plan and or appropriate asset management services. We may collect identification and contact information, as well as transaction and investment experience information from applications, transactions with others and us, and from outside companies.

EXAMPLES OF THE SOURCES AND TYPES OF INFORMATION WE MAY COLLECT INCLUDE

- Information about the products and services you currently own, including copies of applications, registration forms, or other forms, containing your name, address, telephone number, social security number, email address, investment objectives, income assets, employment information, and accounts with others; information could also include past and present tax returns, estate documents such as wills and trusts, prenuptial agreements, employment benefits, and other business agreements or arrangements that you are a part of;
- Information regarding your brokerage and/or investment advisory transaction history, service providers, such as clearing firms, mutual fund companies, insurance companies, or other companies that provide you with financial products or services including your former broker/dealer or its registered representatives, your current registered representative and their affiliated business;
- Information under the USA Patriot Act enacted by the United States Treasury Department and Congress to combat terrorism. (Investor notification is available upon request.)

INFORMATION WE MAY DISCLOSE AND TO WHOM WE MAY DISCLOSE INFORMATION

Pearl will not disclose your personal information unless specifically authorized to do so. Authorization results from referral to other professionals such as attorneys, accounting, and professionals needed to complete the plan and or other advisory services that you may require. We may disclose to companies or organizations not affiliated with us that would use the information we have provided them to contact you about their own products and services except as outlined below. We may disclose all the personal information we collect, as described above, to our staff, affiliates, representatives, their affiliated businesses, insurance companies, brokers, banks, Broker/Dealers and investment advisers that provide services to you on our behalf, in the following types of situations:

- Providing administrative, customer assistance, clearing, operational, or other services;
- Preparing, printing and delivering portfolio management performance reports, confirmation statements, and other documents;
- Third party asset managers with whom we have relationships for the management of customer accounts;
- State, Federal, and other regulatory and self-regulatory authorities as required by law or regulation;
- In certain situations, we may share your information with parties that are not affiliated with us, as described below:

DISCLOSURES PERMITTED BY LAW

We may also disclose all the information we collect as permitted or required by law. For example, we may disclose information to law enforcement agencies or insurance and securities regulatory agencies.

OPTING OUT OF THIRD PARTY DISCLOSURES.

Pearl Street Advisors, LLC will not sell your personal and confidential information to any party for the purpose of marketing.

SECURING YOUR INFORMATION

Our staff, affiliates, representatives, and their staffs are required to follow our policies and procedures to keep your information secure, confidential, and prevent unauthorized use of your personal information. Our physical, electronic, and procedural safeguards have been reasonably designed to protect your information.

TO REQUEST INFORMATION REGARDING YOUR INFORMATION AND PRIVACY RIGHTS

Contact Pearl Street Advisors, LLC by telephone at (719) 633.0747 or by mail at 619 N Nevada Avenue, CO 80903